

PNNL-XXXXX

Environmental Information for the Siting and Operation of Floating Tidal Turbines in Cook Inlet, Alaska, U.S.

Teamer Orbital Marine

March 2023

Andrea Copping Candace Briggs Lysel Garavelli Taiping Wang Zhaoqing Yang

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor Battelle Memorial Institute, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof, or Battelle Memorial Institute. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

PACIFIC NORTHWEST NATIONAL LABORATORY

operated by

BATTELLE

for the

UNITED STATES DEPARTMENT OF ENERGY

under Contract DE-AC05-76RL01830

Printed in the United States of America

Available to DOE and DOE contractors from the Office of Scientific and Technical Information, P.O. Box 62, Oak Ridge, TN 37831-0062; ph: (865) 576-8401 fax: (865) 576-5728

email: reports@adonis.osti.gov

Available to the public from the National Technical Information Service 5301 Shawnee Rd., Alexandria, VA 22312 ph: (800) 553-NTIS (6847) email: orders@ntis.gov https://www.ntis.gov/about Online ordering: http://www.ntis.gov

Environmental Information for the Siting and Operation of Floating Tidal Turbines in Cook Inlet, Alaska, U.S.

Teamer Orbital Marine

March 2023

Andrea Copping Candace Briggs Lysel Garavelli Taiping Wang Zhaoqing Yang

Prepared for the U.S. Department of Energy under Contract DE-AC05-76RL01830

Pacific Northwest National Laboratory Richland, Washington 99354

Summary

The deployment and operation of floating tidal technology in the United States requires the assessment of environmental conditions and satisfaction of all environmental permitting requirements. Cook Inlet in Alaska was chosen as the location in which to evaluate the potential for deployment of the Orbital Marine Power Ltd. floating technology. This report describes the information gathered about energy resources and logistical, regulatory, and environmental conditions for siting and deploying the technology in Cook Inlet. State and federal regulations required for deploying are defined, as well as the additional requirement for a social license, particularly as it relates to native settlements and native corporations. To evaluate the potential for siting and deployment, bathymetry and tidal stream resources are assessed, and the presence of species and critical habitats is defined. This information is then used to evaluate the potential environmental effects of floating tidal technologies in coastal waters of Cook Inlet and to define some of the optimal locations for installation of these technologies. This initial assessment of logistical, regulatory, and environmental conditions for the deployment of a floating tidal technology is a first step toward technology siting and the achievement of environmental compliance.

The tidal currents in much of Cook Inlet are substantial with several locations that are appropriate for tidal energy development at the scale of the Orbital turbines. Limitations to development were noted that included the need to carefully monitor and plan around the endangered beluga whale population segment that is resident in Cook Inlet and surrounding waters, as well as concerns for endangered whales that are occasional visitors to the area, sea otters, and Stellar sea lions. Other marine mammals are protected in the area, and essential fish habitat for salmon, scallops, crab, and groundfish must be taken into consideration. Similarly, navigation corridors and shipping lanes must be accounted for in siting turbines.

There appears to be adequate baseline data for further examination of tidal energy sites in Cook Inlet, while there will be a need to plan for post-installation monitoring around potential collision risk of marine animals with turbines, underwater noise from the turbines affecting marine animals, potential effects of electromagnetic fields (EMF) from cables, and changes in benthic and pelagic habitat, as a result of the development.

This work was performed under the Testing and Access to Marine Energy Research (TEAMER) program, sponsored by the U.S. Department of Energy, Energy Efficiency and Renewable Energy, Waterpower Technologies Office, and carried out by Pacific Northwest National Laboratory.

Summary

Acknowledgments

We are grateful for the support provided by the Department of Energy Waterpower Technologies Office through the Testing and Access to Marine Energy Research (TEAMER) program. Orbital Marine has provided key information about their tidal technology that has allowed us to estimate the potential environmental effects of the floating turbines. We also appreciate the assistance from Julieanna Potter (Hilcorp Alaska, LLC) on understanding the regulatory structures in Alaska.

Acknowledgments

Acronyms and Abbreviations

AEP Annual Energy Production

cm centimeter(s)

DPS distinct population segment

EFH essential fish habitat

ESA Endangered Species Act

FERC Federal Energy Regulatory Commission

FMP fishery management plan

FR Federal Register

FVCOM Finite Volumn Community Ocean Model

g gram(s)

HAPC habitat area of particular concern

IUCN International Union for Conservation of Nature

kg kilogram(s) m meter(s)

MMPA Marine Mammal Protection Act

MW megawatt(s)

NMFS National Marine Fisheries Service

NOAA National Oceanic and Atmospheric Administration

Orbital Orbital Marine Power, Ltd.

PNNL Pacific Northwest National Laboratory

T ton(s)

TEAMER Testing and Access to Marine Energy Research (program)

U.S. United States

USFWS U.S. Fish and Wildlife Service

yr year(s)

Contents

Summ	nary	iii
Ackno	wledgments	iv
Acron	yms and Abbreviations	v
1.0	Introduction	9
2.0	Description of the Technology	10
3.0	Regulatory Context	11
4.0	Additional Requirements for Orbital	13
5.0	Delineating Areas in Cook Inlet for Floating Tidal Technologies	14
5.1	Bathymetry	14
5.2	Tidal Stream Energy Resources	15
5.3	Protected and Sensitive Marine Animals and Habitats in Cook Inlet	19
5.3.1	Species and Critical Habitats in Cook Inlet	19
5.3.2	Endangered Species in Cook Inlet	20
5.3.3	Threatened Species	25
5.3.4	Protected Marine Mammals	25
5.3.5	Other Key Species	26
5.4	Essential Fish Habitat in Cook Inlet	26
5.4.1	Salmon	27
5.4.2	Scallop	28
5.4.3	King and Tanner Crabs	28
5.4.4	Groundfish in the Gulf of Alaska	29
5.5	Seabirds Around Cook Inlet	31
5.5.1	Auks, Murres, Puffins	31
5.5.2	Cormorants	31
5.5.3	Ducks and Geese	32
5.5.4	Grebes	32
5.5.5	Gulls and Terns	32
5.5.6	Loons	33
5.5.7	Petrels	33
5.5.8	Jaegers/Skuas	33
5.5.9	Bald Eagles	34
6.0	Assessment of Environmental Effects from Marine Energy Devices on Marine Animals and Seabirds in Cook Inlet	35
7.0	Suitability Assessment for the Development of Marine Energy Projects in Cook Inlet	36
8.0	Adequacy of Environmental Data for Initial Development of Floating Tidal Turbines	38

Contents

		_	_
9.0	Deferences	2	Г
911	References	. 7'	ч

Figures

Figure 1.	Orbital Marine Technology O2 floating tidal turbine, deployed at the European Marine Energy Center in Orkney, United Kingdom	10
Figure 2.	Map of Cook Inlet in Alaska.	14
Figure 3.	Bathymetry in Cook Inlet, Alaska, used for the tidal hydrodynamic model	15
Figure 4.	Refined model grid bathymetry in the area of Foreland in Cook Inlet, Alaska	16
Figure 5.	River flows from Susitna River, Matanuska River, Knik River, and Kenai River for the period of 5/1/2012–10/18/2012	16
Figure 6.	Instantaneous CFSV2 sea surface wind speed at 00:00:00 GMT May 2, 2012 and 00:00:00 GMT May 6, 2012	17
Figure 7.	Simulated depth-averaged mean tidal power density in Cook Inlet	18
Figure 8.	Depth-averaged mean tidal power density in the Foreland area and the mean power density at 18 m depth below the sea surface	18
Figure 9.	Simulated AEP distribution at 18 m water depth below sea surface in Foreland, Alaska	19
Figure 10.	Beluga whale	20
Figure 11.	Critical habitat of ESA-listed marine mammals in southwest Alaska	21
Figure 12.	Blue whale	21
Figure 13.	Fin whale	22
Figure 14.	Gray whale	22
Figure 15.	Humpback whale	22
Figure 16.	Killer whale	23
Figure 17.	North Pacific right whale	23
Figure 18.	Sei whale	24
Figure 19.	Sperm whale	24
Figure 20.	Stellar sea lion	24
Figure 21.	Northern sea otter	25
Figure 22.	Harbor porpoise	25
Figure 23.	Harbor seal	26
Figure 24.	Sunflower sea star	26
Figure 25.	Essential fish habitat and habitat areas of particular concern in southwest Alaska, U.S	27
Figure 26.	Essential fish habitat for king and tanner crabs, scallop, and salmon in southwest Alaska, U.S	28
Figure 27.	Essential fish habitat for groundfish species in southwest Alaska	30
Figure 28.	Horner puffin	31
Figure 29.	Thick billed murre	31

Figures vii

Figure 30.	Rhinoceros auklet	31
Figure 31.	Pelagic cormorant	31
Figure 32.	Steller's eider	32
Figure 33.	Bufflehead	32
Figure 34.	Long-tailed duck	32
Figure 35.	Horned grebe	32
Figure 36.	Black-legged kittiwake	32
Figure 37.	Aleutian tern	33
Figure 38.	Red-throated loon	33
Figure 39.	Fork-tailed storm petrel	33
Figure 40.	Parasitic jaeger/Arctic skua	33
Figure 41.	Bald eagle	34
Figure 42.	Heatmap of the suitability for developing a tidal energy project in Cook Inlet, Alaska	37
Tables		
Table 1.	Federal and state statutes and regulations related to deployment of floating tidal technology	11
Table 2.	Federal or State Status of the marine species that can potentially occur in Cook Inlet	20
Table 3.	Marine mammal underwater functional hearing ranges	35
Table 4.	Parameters included in the heatmap analysis and associated constraints, in order to identify suitable areas for developing a tidal energy project in Cook Inlet, Alaska	36

Tables

1.0 Introduction

This work was performed under the Testing and Access to Marine Energy Research (TEAMER) program, sponsored by the U.S. Department of Energy, Energy Efficiency and Renewable Energy, Waterpower Technologies Office, and carried out by Pacific Northwest National Laboratory.

This report summarizes information collected to inform the deployment and operation of floating tidal technologies in Cook Inlet, Alaska. In particular, environmental data needed to inform regulatory pathways were collected to facilitate the siting and deployment of marine energy devices in this area of the United States (U.S.) where few studies have been carried out. For the purposes of this report, the O2 floating tidal technology created by Orbital Marine Power Ltd. (Orbital) was used to represent full-scale floating tidal technologies.

Information collected and analyzed for this report was derived solely from publicly available databases as well as interactions with researchers and public officials associated with those data. These data allowed the authors to delineate some preferred locations for floating tidal development and to outline those areas where development might lead to conflicts or challenges associated with vulnerable marine populations and/or other users.

The report is organized into six sections addressing the following topics: (1) Orbital's tidal technology, (2) the U.S. regulatory context for deployment of floating tidal technology, (3) additional requirements Orbital must meet in working through regulatory processes, (4) examination of areas in Cook Inlet in relation to floating tidal stream turbines, (5) an assessment of the potential environmental effects of floating tidal technologies in coastal waters of Cook Inlet, and (7) an overall assessment of the adequacy of data available for initial development of floating tidal technologies in Cook Inlet.

Introduction 9

2.0 Description of the Technology

The Orbital O2 device is a floating tidal turbine hull with two rotors suspended underneath, anchored to the seafloor with mooring lines (Figure 1). The device is 74 meters (m) long and floats semi-submerged, approximately 1.5 m above the waterline and 2.3 m below the water. The device is 50 m wide, including the span of the blades underwater. The total draft of the operational device is 23.2 m. The device is anchored to the seafloor with four anchors and mooring lines; each anchor has a footprint of approximately 15 m², at a preferred deployment depth of 50–100 m. The watch circle for each device is 30–40 m. Orbital is expected to deploy two to four devices in an array. Additional descriptions of the device can be found at https://www.orbitalmarine.com/.



Figure 1. Orbital O2 floating tidal turbine, deployed at the European Marine Energy Center in Orkney, United Kingdom.

3.0 Regulatory Context

Deployment and operation of the Orbital floating tidal turbine must meet federal, state, and, in some limited cases, local regulatory requirements. Descriptions of the regulatory processes (e.g., https://tethys.pnnl.gov/publications/handbook-marine-hydrokinetic-regulatory-processes) provide extensive detail to meet most marine energy regulatory needs. The services of a regulatory specialist will be beneficial as Orbital pursues deployment of its turbines in Cook Inlet in the state of Alaska. In general, however, the federal and state statutes and regulations that must be followed are summarized in Table 1, including the cognizant federal or state agencies and the primary receptors (marine animals or habitats) that occur in Cook Inlet for which the agencies are responsible.

Table 1. Federal and state statutes and regulations related to the deployment of floating tidal technology.

Jurisdiction	Regulation	Cognizant Agency	Receptor of Concern (where applicable)/Notes
Federal	Endangered Species Act, Magnuson-Stevens Conservation Act, Fish and Wildlife Coordination Act, Federal Power Act, Marine Mammal Protection Act	NOAA-NMFS	Marine mammals, marine and most anadromous fish
Federal	Endangered Species Act, Fish and Wildlife Coordination Act, Federal Power Act, Bald & Golden Eagle Protection Act, Migratory Bird Treaty Act	USFWS	Land-based and seabirds, certain species of anadromous fish, sea otters, migratory birds
Federal	Rivers and Harbors Act (Section 10), Clean Water Act (Section 404), Marine Protection and Sanctuaries Act (Section 103)	U.S. Army Corps of Engineers	Navigation
Federal	Federal Power Act, Public Utility Regulatory Policies Act, Energy Policy Act, Electric Consumers Protection Act, National Environmental Policy Act	FERC	National Environmental Policy Act process
Federal	PATON (Private Aid to Navigation)	U.S. Coast Guard	Navigation lighting and notice to mariners
State	Clean Water Act 18 AAC 70 Water quality standards	Alaska Department of Environmental Conservation	Restoration, protection, and conservation of water quality, water quantity, and aquatic habitat
State	Hydroelectric Project Authorization Title 16 Fish and Game. Critical Areas Title 5. Special Area Permit	Alaska Department of Fish and Game	Protecting fish and their habitats during construction and operation, and maintaining fish passage in all fish-bearing waterbodies.

Regulatory Context 11

Jurisdiction	Regulation	Cognizant Agency	Receptor of Concern (where applicable)/Notes
State	Tideland Lease, Land use authorization, Right of Way	Alaska Department of Natural Resources: Division of Land, Mining, and Water	Benthic habitats. Shore- based infrastructure and crossing intertidal environments.
State	Clean Water Act Section 206	Alaska Department of Natural Resources: State Historical Preservation	Tribes with usual and accustomed fishing grounds in the area must be consulted to fulfill these requirements.
Native Corporations	Land Use Authorization Letter of Non-Objection	Native Corporations	Tribal enterprises must grant access for shore-based operations and provide a letter stating that the project does not interfere with their entry.

FERC = Federal Energy Regulatory Commission; NMFS = National Marine Fisheries Service; NOAA = National Oceanic and Atmospheric Administration; USFWS = U.S. Fish and Wildlife Service.

Regulatory Context 12

4.0 Additional Requirements for Orbital

Orbital will be required to fulfill all federal, state, and local regulatory requirements; in addition, gaining the approval of local tribes, indigenous peoples, and native corporations is necessary to make sure their way of life and harvests are not harmed by the proposed project. Tribal nations are considered to be sovereign; they must be consulted as one would consult another nation and are not considered to be merely another group of stakeholders. In general, U.S. federal agencies will carry out any formal consultations. However, gaining the trust and agreement of local tribes, as well as tribes that have usual and accustomed fishing grounds in or around the project, is necessary to ensure a successful outcome. There are two different approaches that must be made to encompass the tribes. The tribal communities represent the values and rights of those who live and work in and around Cook Inlet. In addition, the Cook Inlet Region, Inc. (CIRI) is one of 12 land-based Alaska Native regional corporations created under the Alaska Native Claims Settlement Act (ANCSA). CIRI's regional boundaries roughly follow the traditional Dena'ina territory of south-central Alaska. CIRI was incorporated on June 8, 1972, and is owned today by a diverse group of more than 9,000 shareholders who live in Alaska and throughout the world. CIRI has a portfolio and interest in energy and infrastructure with a focus on renewable energy. Tribes with communities and interests in the Cook Inlet area include those of Athabascan, Tlingit, Haida, Tsimshian, Inupiat, Yup'ik, Alutiiq/Sugpiaq, and Aleut/Unangax descent.

Gaining social license from stakeholders in each region is also key to establishing a successful project. These stakeholders will range across those that make their livelihood from the sea to those environmentally conscious groups who seek to conserve marine resources and the environment. As is true anywhere, groups and individuals may hide their true intentions in opposing or complicating a project. The key to working through these issues is to engage local expertise and meet early and often with stakeholders and tribes.

5.0 Delineating Areas in Cook Inlet for Floating Tidal Technologies

Cook Inlet is located in the central Gulf of Alaska stretching 180 miles inland reaching Anchorage in south-central Alaska (Figure 2). Areas within the waters of the Cook Inlet must be assessed for tidal current speeds and resources that could support energy harvest, as well as for areas where sensitive and/or protected living organisms, the habitats that support them, and ecosystem processes might be at risk of being damaged by the marine energy technology. Determining areas of Cook Inlet that might be suitable for floating tidal technologies requires an examination of the bathymetry and tidal currents to optimize locations for power production, as well as the location and extent of infrastructure that might limit or provide opportunities for location of tidal devices and power export cables. Each of these factors is discussed in the following sections.

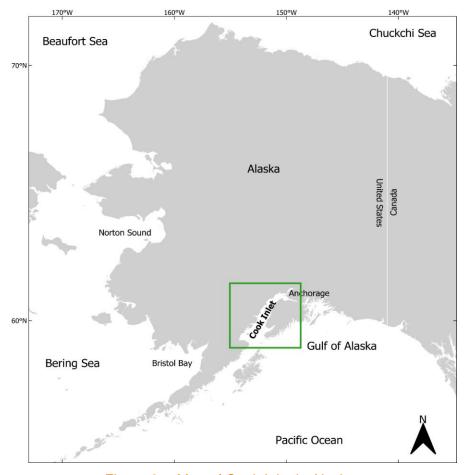


Figure 2. Map of Cook Inlet in Alaska.

5.1 Bathymetry

The waters of Cook Inlet range in depth from 0 to 170 m, as shown by bathymetry measurements (Figure 3). Shallow waters (< 50 m) are mainly observed in the northern part of Cook Inlet, north of East and West Foreland. Deeper waters (> 50 m) are mainly observed in the central part of the inlet and the southern part of the inlet. Average and maximum depths are

29 m and 128.4 m, respectively, off Harriet Point, 32.4 m and 156.9 m between East and West Foreland, and 12.8 m and 56.1 m off Anchorage.

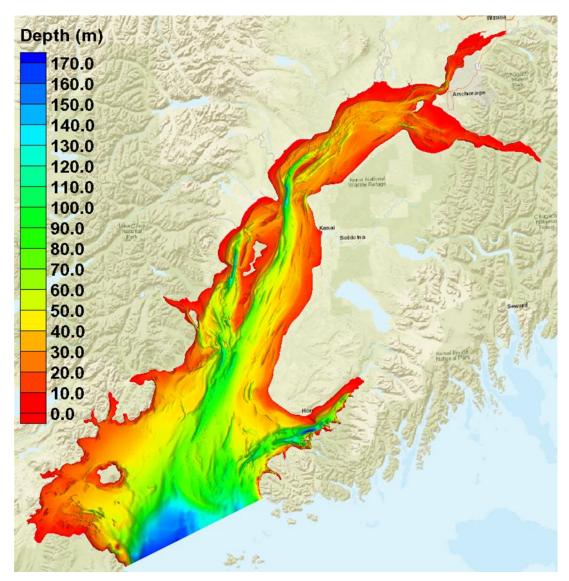


Figure 3. Bathymetry in Cook Inlet, Alaska, used for the tidal hydrodynamic model (Wang and Yang 2020).

5.2 Tidal Stream Energy Resources

Tidal stream energy resource characterization in Cook Inlet was assessed based on model outputs from a three-dimensional high-resolution tidal hydrodynamic model of the Cook Inlet, which was originally developed by Wang and Yang (2020). Several modifications were made in this new version of the Cook Inlet model, including refinement of the model grid in high tidal energy areas, adding river stream flow and wind forcing. An example of the refined model grid in the Foreland area is shown in Figure 4. Grid resolution was significantly refined in the areas that feature high tidal currents. The number of grid elements increased from 239,475 in the original model (Wang and Yang 2020) to 392,002 in the refined model. River discharge and sea surface wind were not considered by Wang and Yang (2020). For this study, both river discharge and

wind forcing are considered and baroclinic motion is simulated. Figure 5 shows the stream flows for the major rivers discharged into Cook Inlet, including the Susitna River, Matanuska River, Knik River, and Kenai River. The largest river discharge is from Susitna River; it is greater than the river flows of the other three rivers combined. Wind data were obtained from the National Centers Environmental Prediction (NCEP) version 2 coupled forecast system model (CFSv2). Wind patterns in Cook Inlet are very dynamic, exhibiting strong spatial and temporal variabilities (Figure 6).

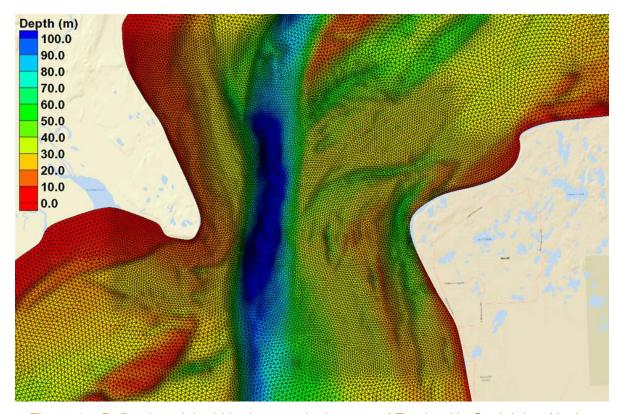


Figure 4. Refined model grid bathymetry in the area of Foreland in Cook Inlet, Alaska.

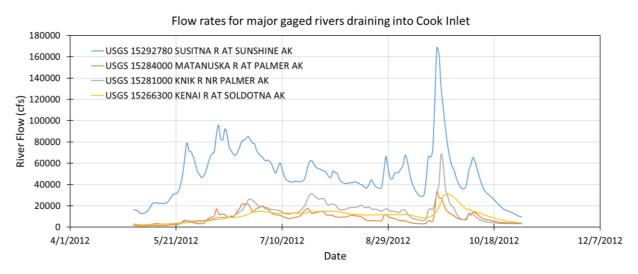


Figure 5. River flows from Susitna River, Matanuska River, Knik River, and Kenai River for the period of 5/1/2012–10/18/2012.

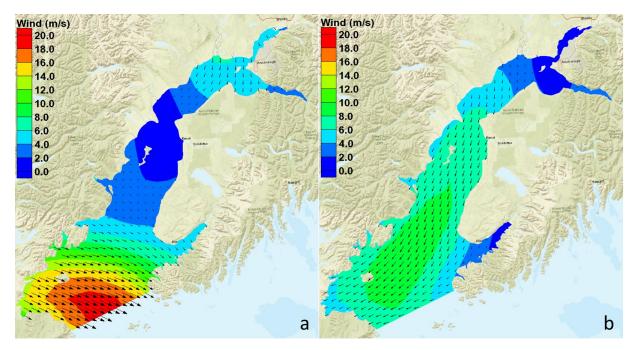


Figure 6. Instantaneous CFSV2 sea surface wind speed at (a) 00:00:00 GMT May 2, 2012 and (b) 00:00:00 GMT May 6, 2012.

Tidal stream energy resources can best be represented by the tidal power density, which is proportional to the cube of tidal currents. Strongest mean tidal power densities are observed in the Upper Cook Inlet, especially the Foreland area due to the effect of the flow being forced through the narrow channel (Figure 7). Locations off Anchorage, Harriet Point, and between East and West Foreland have great tidal energy resources that should be considered for harvesting. A zoomed-in image of the depth-averaged mean power density for the Foreland area is shown in Figure 8a; it shows strong power density across almost the entire crosssectional area. The highest power density occurs in East Foreland, where the maximum depthaveraged mean power density is above 7 kW/m². Because floating tidal turbines are the focus of this study, power density distribution at a certain water depth below the surface is of interest. For example, Figure 8b shows the power density distribution and the suitable area for a 30 m diameter tidal turbine with a hub height of 18 m below sea surface and 3 m surface clearance. Clearly, even though East Foreland has the highest power density and strongest tidal currents, the area suitable for deployment is limited because of the shallow water depth. Recommended by International Electrotechnical Commission, the Annual Energy Production (AEP) is the ultimate parameter for tidal energy resource characterization and assessment. Simulated AEP distribution at 18 m depth in the Foreland area is shown in Figure 9. In the central channel, the AEP is greater than 6 GWh/yr and East Foreland has the highest AEP, greater than 7 GWh/yr. AEP is calculated based on the following parameters:

- water density 1,025 kg/m³;
- number of rotors = 1;
- rotor diameter = 30 m;
- rotor hub depth = 18 m below surface;
- minimum depth required = 40 m;
- cut-in speed = 0.5 m/s;
- rated speed = 2.046 m/s (resulting in 2 MW electrical power output at rated speed); and
- turbine efficiency = 0.45*0.86.

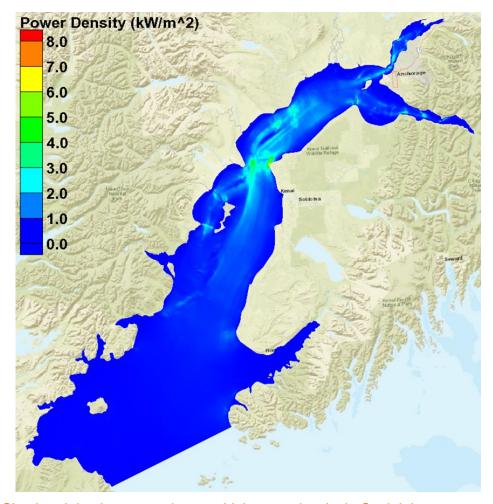


Figure 7. Simulated depth-averaged mean tidal power density in Cook Inlet.

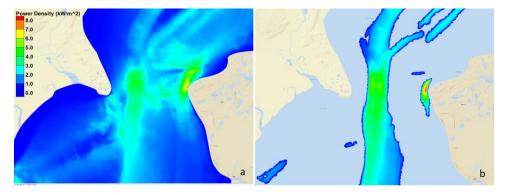


Figure 8. Depth-averaged mean tidal power density in (a) the Foreland area and (b) the mean power density at 18 m depth below the sea surface.

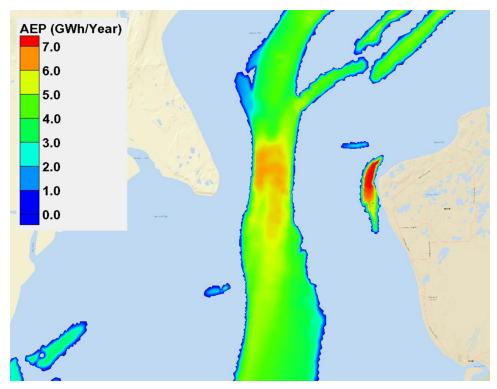


Figure 9. Simulated AEP distribution at 18 m water depth below sea surface in Foreland, Alaska.

5.3 Protected and Sensitive Marine Animals and Habitats in Cook Inlet

The most stringent and challenging regulatory processes for marine energy in the U.S. are associated with the protection of species and habitats. These processes are driven by the presence of endangered or threatened species, species protected under other statutes, and habitats that support a wide range of species. The species and habitats that will drive placement of a tidal project in Cook Inlet are described here, and discussed under each of the specific protection mechanisms of importance.

5.3.1 Species and Critical Habitats in Cook Inlet

The following marine species are U.S. federally listed as threatened or endangered and/or listed by the state of Alaska. Each of these species is known to be present in Cook Inlet year-round or seasonally (Table 2). In some cases, segments of populations are protected under special programs. The major concerns are for listed marine mammals, particularly cetaceans (whales), while the state-listed population segment of the Northern sea ofter population and a federally-listed segment of the Stellar sea lion population are also present in Cook Inlet. The population segment of beluga whales is likely to present the greatest concern for tidal energy development in Cook Inlet.

Table 2. Federal or State Status (threatened, endangered) of the marine species that can potentially occur in Cook Inlet.

	Threat	tened	Endan	gered	
Species	State of Alaska	Federal	State of Alaska	Federal	Notes
Beluga Whale (Delphinapterus leucas)				Х	Distinct population segment in Cook Inlet (73 FR 62919); designated critical habitat in Cook Inlet (76 FR 20179)
Blue Whale (<i>Balaenoptera musculus</i>)			Х	Χ	(35 FR 18319)
Fin Whale (<i>Balaenoptera</i> physalus)				Χ	(83 FR 4032)
Gray Whale (Eschrichtius robustus)				Х	Western north Pacific distinct population segment (83 FR 4032)
Humpback Whale (Megaptera novaeangliae)			Χ	Χ	(81 FR 62259)
Killer Whale (Orcinus orca)				Χ	
North Pacific Right Whale (Eubalaena japonica)				Χ	(73 FR 12024); designated critical habitat in the Gulf of Alaska (73 FR 19000)
Northern Sea Otter (Enhydra lutris kenyoni)		Х			Southwest Alaska distinct population segment (74 FR 51988)
Sei Whale (<i>Balaenoptera</i> borealis)				Χ	(35 FR 12222)
Sperm Whale (<i>Physeter</i> macrocephalus)				Χ	(35 FR 18319)
Steller Sea Lion (Eumetopias jubatus)				Х	Western distinct population segment (64 FR 14052); designated critical habitat (79 FR 46392)

5.3.2 Endangered Species in Cook Inlet

Species of concern in Cook Inlet that are listed as endangered under the Endangered Species Act of 1973 (ESA) are described and their distributions are presented here.

5.3.2.1 Beluga Whale

Beluga whales (*Delphinapterus leucas*; Figure 10) inhabit arctic and subarctic waters where they can move between saltwater and freshwater. They are usually found in shallow coastal waters during the summer months. During other seasons, they inhabit deep water areas. Belugas also seasonally inhabit estuaries and large river deltas. They return to their birth areas along the coast each summer to hunt, breed, and



Figure 10. Beluga whale (NOAA Fisheries).

calve. Belugas grow up to 5 m long, weigh more than a ton on average, and live up to 90 years. They are social animals that hunt, migrate, and interact in groups. All beluga whale populations are protected under the Marine Mammal Protection Act (MMPA). The beluga whale distinct population segment (DPS) in Cook Inlet is one of five populations of Beluga in Alaska and it is listed as endangered under the ESA. The Cook Inlet stock is also designated as depleted under the MMPA. The critical habitat of beluga whale in Cook Inlet is shown in Figure 11.

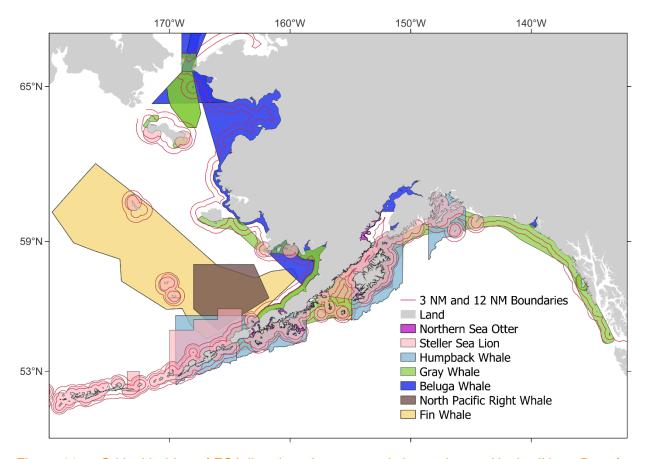


Figure 11. Critical habitat of ESA-listed marine mammals in southwest Alaska (Note: Data for blue whales, sei whales, and sperm whales were not available).

5.3.2.2 Blue Whale

Blue whales (*Balaenoptera musculus*) are the largest whale and animal ever known to exist. They are long and sleek and have a mottled blue-gray coloration (Figure 12). They weigh up to 150 T, measure up to 33 m long (females being larger than males), and are estimated to live up to 90 years.



Figure 12. Blue whale (NOAA Fisheries).

Blue whales inhabit all the oceans except the Arctic. They migrate in summer toward their feeding grounds and in winter toward their breeding grounds. Along the eastern Pacific coast, they are observed off Mexico and Central America in winter, and off the West Coast and in the Gulf of Alaska in summer. Calving areas tend to be located in the warmer waters in the Gulf of California. Blue whales, designated as endangered under the ESA, are protected throughout their range under the MMPA and are designated as being depleted under the MMPA throughout

their range. Although blue whales are not likely to occur in Cook Inlet, their presence cannot be entirely ruled out.

5.3.2.3 Fin Whale

Fin whales (*Balaenoptera physalus*) are the second largest whale and are found in all oceans worldwide. They have pointed heads and streamlined bodies that are darker on the dorsal side and white on the underside; many of them have streaks of white trailing up their



Figure 13. Fin whale (NOAA Fisheries).

sides (Figure 13). Fin whales are designated as endangered under the ESA and are protected throughout their range under the MMPA, under which they are designated as being depleted. Fin whales feed in Alaska waters during the spring and summer and migrate toward warmer water breeding and calving areas in fall and winter. In Alaska, fin whales are found in the western Chukchi Sea, the Bering Sea, throughout the Gulf of Alaska, and in lower Cook Inlet (see Figure 11 for the location of their critical habitat).

5.3.2.4 Gray Whale

Gray whales (*Eschrichtius robustus*) have a mottled gray body with broad pectoral flippers and dorsal humps (Figure 14) and are found only in the North Pacific Ocean. They weigh up to 27 T, measure 13 to 15 m, and move into shallow coastal waters to feed.

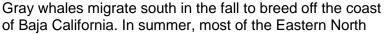




Figure 14. Gray whale (NOAA Fisheries).

Pacific stock migrates to the northern Bering and Chukchi Seas and along the U.S. West Coast to feed. Western North Pacific stocks typically migrate along the coast of eastern Asia, but satellite tagging has shown individuals from eastern populations migrating across the Gulf of Alaska and along the west coast of North America as far south as Mexico. The western North Pacific DPS is listed as endangered under the ESA and depleted under the MMPA. Both western and eastern North Pacific stocks are MMPA protected throughout their range. The critical habitat for gray whale is shown in Figure 11.

5.3.2.5 Humpback Whale

Humpback whales (*Megaptera novaeangliae*) live in all oceans of the world, migrating up to 8,000 km. They may weigh up to 30 T, measure up to 18 m in length, and have a lifespan of 80 to 90 years. Their bodies are primarily black with differing amounts of white on their pectoral fins, bellies, and under their tails (Figure 15). Humpback whales feed on plankton, crustaceans, and small fish off the U.S. West Coast. In the North Pacific, there are four



Figure 15. Humpback whale (NOAA Fisheries).

populations of humpback whales. The Mexico DPS breeds along the Pacific coast of Mexico and feeds between California and the Aleutian Islands (Alaska). The Central American DPS breeds along the coast of Central America and feeds off the west coast of the United States and British Columbia (Canada). The Hawaii DPS breeds off Hawaii and feeds in southeast Alaska

and British Columbia. Finally, the western North Pacific DPS breeds off the coast of west Asia and feeds in the west Bering Sea and off the coast of Russia and the Aleutian Islands. The Mexico DPS, the Central America DPS, and the western North Pacific DPS are listed as endangered under the ESA. Humpback whales are also protected under the MMPA throughout their range. The western North Pacific stock, central North Pacific stock, and California/Oregon/Washington stock are designated as depleted. Humpback whales may be seen at any time of the year in Alaska, but most individuals spend the winter in temperate or tropical waters. In the spring, they migrate back to Alaska to feed. In southwest Alaska, humpback whales are mainly located around Kodiak, the Barren Islands at the mouth of Cook Inlet, and around the Aleutian Islands (see Figure 11 for the location of their critical habitat).

5.3.2.6 Killer Whale

Killer whale (*Orcinus orca*), often referred to as orca, is the largest member of the dolphin family, weighing up to 4 T and measuring up to 10 m in length. Killer whales live 30 to 90 years, are considered to be the ocean's top predators, and can be found in every ocean worldwide, living in higher concentrations near the poles. Killer whales are largely black on the top with white undersides and white patches near the eyes,



Figure 16. Killer whale (NOAA Fisheries).

and they have a large dorsal fin (Figure 16. Resident, transient, and offshore killer whales are recognized in the northeastern Pacific Ocean. In the U.S., resident killer whales are distributed from Alaska to California, and include four distinct populations of resident killer whales: Southern, Northern, Southern Alaska, and Western Alaska (70 FR 69903). Killer whales in Alaskan waters are typically found along the continental shelf stretching from southeastern Alaska to the Aleutian Islands, migrating north through the Bearing Strait toward the Chukchi and Beaufort Seas during spring and back in the fall. Killer whales are MMPA protected throughout their range. The Southern Resident DPS is classified as endangered under the ESA and the transient population as depleted under the MMPA.

5.3.2.7 North Pacific Right Whale

North Pacific right whales (*Eubalaena japonica*) are among the rarest of all large whales. They are one of three right whale species (Atlantic Right whales exist in the north Atlantic Ocean and Southern Right whales in southern hemisphere waters). Northern Pacific Right whales weigh up to 80 T, measure 13 to 15 m long, and live for more than 70 years. They have large, round, black bodies with no dorsal fin and patches of raised



Figure 17. North Pacific right whale (NOAA Fisheries).

rough skin scattered around their heads (Figure 17). Their migration patterns are not well known, but they are believed to feed during summer in high latitudes and migrate toward temperate regions during winter. No calving grounds are known to exist in the eastern North Pacific, but other species of right whales are known to have their young in shallow coastal waters. In Alaska, the population off the west coast is only represented by a few individuals. They are protected throughout their range under the MMPA and are also designated as depleted under the MMPA. Most North Pacific Right whales are found in the central North Pacific and the Bering Sea. In 2006, critical habitat was designated for the species, which

includes a large area in the Bering Sea and a relatively small area in the Gulf of Alaska just south of Kodiak Island (Figure 11).

5.3.2.8 **Sei Whale**

Sei whales (*Balaenoptera borealis*) are sleek whales with streamlined bodies (Figure 18). They weigh up to 45 T, measure from 12 to 18 m long, and live for 50 to 70 years. Sei whales inhabit subtropical and temperate ocean waters with a preference for mid-latitude temperatures. Their migration patterns are



Figure 18. Sei whale (NOAA Fisheries).

not well known but they are typically observed in deep ocean waters. Sei whales in the Pacific Ocean are observed from California to the Gulf of Alaska in the summer, and in winter, from central California to the equator. Sei whales are categorized as endangered under the ESA and depleted under the MMPA throughout their range. They are protected throughout their range under the MMPA.

5.3.2.9 Sperm Whale

Sperm whales (*Physeter macrocephalus*) are found in the deep ocean, around the world. They are the largest of all toothed whales; females weigh 14 T and measure up to 12 m and males weigh 40 T and measure up to 16 m, and have a lifespan of 60 years. Sperm whales are gray with some



Figure 19. Sperm whale (NOAA Fisheries).

white patches on their undersides. They have large heads that make up about a third of their body, a small lower jaw set with large teeth, small flippers, and a small dorsal fin (Figure 19). Their migration patterns are not well understood and vary by life history and sex. Female sperm whales and calves tend to remain in tropical waters throughout the year, whereas adult males inhabiting mid-latitudes generally move toward the poles in summer. They are protected throughout their range under the MMPA, and are designated as endangered under the ESA and as depleted under the MMPA throughout their range.

5.3.2.10 Stellar Sea Lion

Stellar sea lion (*Eumetopias jubatus*) is the largest member of the "eared" seals family (Figure 20). Adult males measure up to 3.3 m in length and can weigh up to 1,100 kg. Adult females are around 2.6 m and weigh up to 360 kg. In Alaska, Steller sea lions are protected throughout their range under the MMPA. The western DPS is listed as endangered under the ESA and is designated as depleted under the MMPA. The population of the western DPS has decreased by approximately 77 to 81 percent from the 1970s to the early 2000s. They live along the coasts of the Aleutian Islands and Bering Sea (see Figure 11 for their critical habitat). During the nonbreeding season, they venture into the deeper continental slope and pelagic waters.



Figure 20. Stellar sea lion (NOAA Fisheries).

5.3.3 Threatened Species

The description and distribution patterns of the species listed as threatened under the ESA are presented below.

5.3.3.1 Northern Sea Otter

Northern sea otters (*Enhydra lutris kenyoni*) are large members of the weasel family with dense brown-black fur and webbed hind feet (Figure 21). They measure up to 1.5 m long; males weigh up to 45 kg and females weigh up to 32 kg. Northern sea otters forage in shallow coastal waters, consuming 25 percent of their body weight per day. Sea otters are nonmigratory and typically maintain a home range of a few dozen square kilometers or less for their entire life. Northern sea otters inhabit the coastal waters of Washington State, British Columbia, the Aleutian Islands, and Southern Alaska. There are three stocks of Northern sea otter: the south-east stock found in southeast Alaska, the south-central



Figure 21. Northern sea otter (National Geographic).

stock found from the edge of the southeastern stock to the eastern edge of Cook Inlet, and the southwest stock found west of the western edge of Cook inlet. The Southwest Alaskan stock is listed as threatened under the ESA and as depleted under the MMPA. All three stocks are protected throughout their range under the MMPA. The critical habitat for the Northern sea otter is shown in Figure 11.

5.3.4 Protected Marine Mammals

Descriptions and distribution patterns of the species protected throughout their range under the MMPA are described below. See the previous section for the descriptions of beluga whale, blue whale, fin whale, and gray whale.

5.3.4.1 Harbor Porpoise

Harbor porpoises (*Phocoena phocoena*) weigh between 61 and 77 kg, measure approximately 1.6 m in length, and have an average lifespan of 24 years (Figure 22). On the west coast of North America, harbor porpoises inhabit waters from California to Northern Alaska and Canada with at least 10 distinct stocks within this range. Three



Figure 22. Harbor porpoise (NOAA Fisheries).

stocks are identified in Alaskan waters: Southeast Alaska, Gulf of Alaska, and Bering Sea. Those found in Cook Inlet are recognized as being part of the Gulf of Alaska stock. They are often found in harbors, bays, and estuaries in water less than 200 m deep. They feed on demersal and benthic species, including herring, capelin, and cephalopods. Harbor porpoises are not categorized as being at risk, but are protected throughout their range, as all marine mammals are, under the MMPA.

5.3.4.2 Harbor Seal

Harbor seals (*Phoca vitulina*) are one of the most common marine mammals along the U.S. West and East Coasts. They are part of the true seal family and have short flippers (Figure 23). They weigh up to 129 kg, measure up to 1.82 m in length, and have a lifespan of 25 to 30 years. Harbor seals have small home ranges, mate at sea, and give birth during the spring and summer. They are both deep and shallow divers and feed on fish.



Figure 23. Harbor seal (NOAA Fisheries).

shellfish, and crustaceans. While harbor seals haul out to rest and breed, they are generally not capable of extensive movement on land. There are 16 stocks of harbor seals in the U.S., 12 in Alaska. The Alaskan stocks include the Cook Inlet/Shelikof Strait stock, which has been stable or increased in numbers over the past 8 years. Cook Inlet supports a high abundance of seals all year round, with lower and middle Cook Inlet being a highly popular location for harbor seals. Harbor seals are not categorized as being at risk but are protected throughout their range under the MMPA.

5.3.5 Other Key Species

Other species have been noted as depleted and are of interest to conservation organizations and government regulators but are not yet afforded special protection.

5.3.5.1 Sunflower Sea Star

The sunflower sea star (*Pycnopodia helianthoides*) is a large sea star, iconic of the northeast Pacific Ocean (Figure 24). It is among the largest sea stars in the world—it has a maximum arm span of 1 m, and can have 16 to 24 limbs. It was declared a critically endangered species by the International Union for Conservation of Nature - IUCN (Gravem et al. 2020). Its distribution ranges from California to Alaska, although it is no longer observed in Oregon and California; it is present in Puget Sound and Alaska in low numbers. It occurs in many different types of marine habitats including mud, sand, shell, gravel, rocky bottoms, kelp forest, and lower intertidal, at depths from 0 to 435 m.



Figure 24. Sunflower sea star (Oregon Public Broadcasting)

5.4 Essential Fish Habitat in Cook Inlet

Essential fish habitat (EFH) is defined by the Magnuson-Stevens Fishery Conservation and Management Act as "waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." EFHs have been identified in southwestern Alaska for the following groups:

- salmon juveniles and adults,
- scallop all life stages,
- king and Tanner crabs all life stages, and
- groundfish all life stages.

Habitat Areas of Particular Concern (HAPCs) are smaller habitat areas within EFH and are priority areas for conservation and management efforts. HAPCs within southwestern Alaska include the following:

- the Alaska Seamount Habitat Protection Areas,
- the Bowers Ridge Habitat Conservation Zone, and
- the Gulf of Alaska Coral Habitat Protection Areas.

EFH and HAPCs are mapped in Figure 25 and the implications of these areas are discussed in the following sections.

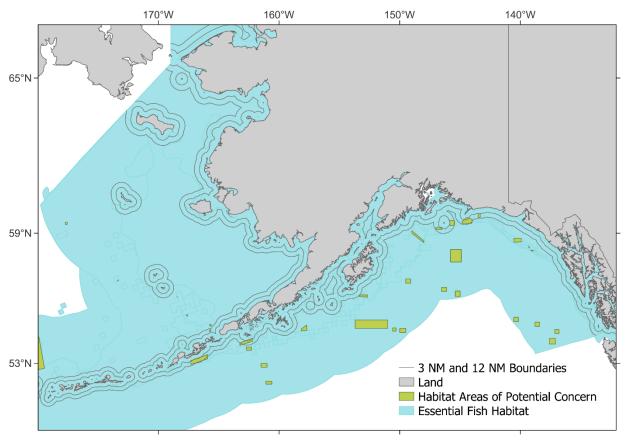


Figure 25. Essential fish habitat and habitat areas of particular concern in southwest Alaska, U.S.

EFH for salmon, scallop, and king and Tanner crabs in southwest Alaska are described below and depicted in Figure 26.

5.4.1 Salmon

Five species of Pacific salmon are present in southwest Alaska: Chinook (*Oncorhynchus tshawytscha*), Coho (*Oncorhynchus kisutch*), Pink (*Oncorhynchus gorbuscha*), Sockeye (*Oncorhynchus nerka*), and Chum (*Oncorhynchus keta*). Kelp harvesting areas are important for ocean rearing of juveniles, and for juvenile and adult migration. All species feed throughout the entire water column.

5.4.2 Scallop

EFH areas that are used for kelp harvesting are habitats supporting several scallop species (weathervane scallops [*Patinopecten caurinus*], pink or reddish scallops [*Chlamys rubida*], spiny scallops [*Chlamys hastata*], and rock scallops [*Crassadoma gigantea*]) throughout their life stages. Eggs and larvae of scallops are planktonic and the larval dispersal duration takes place over a month. The settlement of larvae occurs at the bottom of the water column. Juveniles and adults are generally not mobile.

5.4.3 King and Tanner Crabs

Species of interest in the Bering Sea/Aleutian Islands area include red king crab (*Paralithodes camtschaticus*), blue king crab (*P. platypus*), golden (or brown) king crab (*Lithodes aequispinus*), and Tanner crab (*Chionoecetes bairdi* and *C. opilio*).

King and Tanner crabs inhabit shallow inshore areas (less than 50 m depth) during reproduction and mating. The larval stage is planktonic, and larvae are generally distributed in the upper 30 m of the water column. The settlement of larvae occurs on the bottom of the water column and in shallow areas. Important locations for king crab spawning and juvenile rearing in southwest Alaska include the area north and adjacent to the Alaska Peninsula (Unimak Island to Port Moller), the eastern portion of Bristol Bay, and nearshore areas of the Pribilof and Saint Matthew Islands.

Recent drops in populations of several species of commercially harvested crabs may bring additional scrutiny and protection in the future.

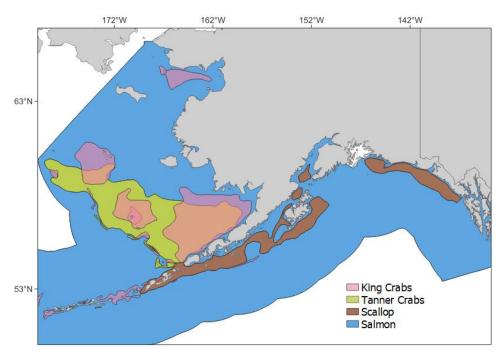


Figure 26. Essential fish habitat for king and tanner crabs, scallop, and salmon in southwest Alaska, U.S.

5.4.4 Groundfish in the Gulf of Alaska

Groundfish species in southwest Alaska include walleye pollock (*Gadus chalcogrammus*), Pacific cod (*Gadus macrocephalus*), sablefish (*Anoplopoma fimbria*), flatfish, rockfish, atka mackerel (*Pleurogrammus monopterygius*), skates, sculpins, sharks, and octopus. Forage fish species, grenadiers, and squids are also included in this group. All life stages of groundfish inhabit the water column of pelagic waters throughout the Gulf of Alaska (Figure 27). Juvenile and adult stages are generally located in the lower portion of the water column along the entire shelf.

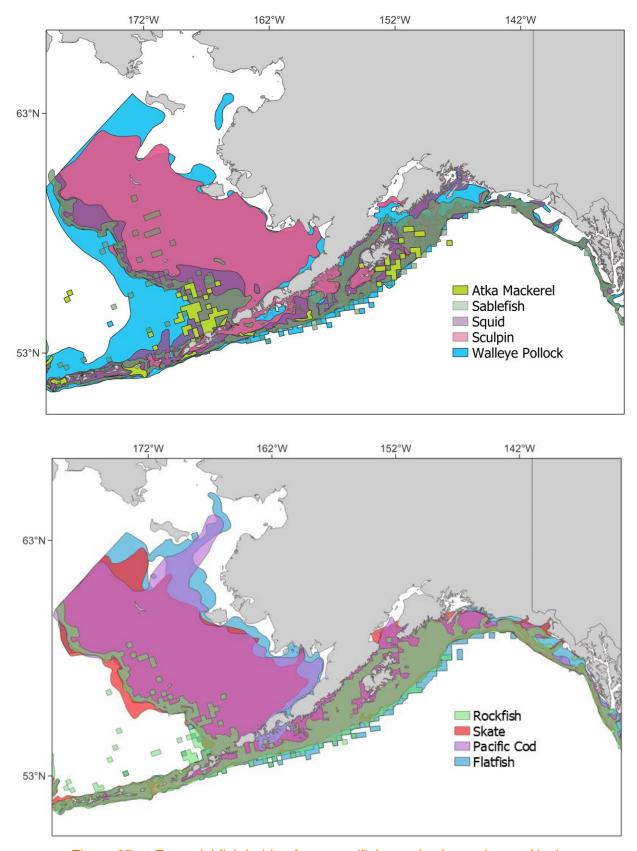


Figure 27. Essential fish habitat for groundfish species in southwest Alaska.

5.5 Seabirds Around Cook Inlet

Cook Inlet supports a wide variety of birds, many of which rely on the water and/or shoreline for food sources, safety, and/or reproduction. Cook Inlet provides important habitat for many at-risk water birds that may spend all year round or certain seasons in the Inlet. Many of these birds are divers and routinely spend time below the surface of the water foraging for food. A few species such as red-faced cormorants and Aleutian terns live and breed almost exclusively along Alaskan

Figure coasts.

Figure 28. Horner puffin (Audubon).

5.5.1 Auks, Murres, Puffins

Auks, murres, and puffins spend great portions of their lives on large expanses of water, including open ocean and coastal bays and inlets (Figures 28-30). They all forage beneath the surface of the water for food. Auks, murres, and puffins specialize in a piscivorous diet eating mostly small fish between 2–6 inches long, along with small marine crustaceans, squid, octopus, and zooplankton. Of the auks, murres, and puffins found in Cook Inlet, some forage down to 20 m (i.e., ancient murrelet), 30 m (i.e., rhinoceros auklet, parakeet auklet, marbled murrelet, Kittlitz's murrelet, horned puffin, and tufted puffin), 45 m (i.e., common murre, pigeon guillemot), and the thick billed murre regularly forages down to 100 m and has been found as deep as 200 m. Of these, most species have a conservation status categorized by the IUCN Red List as "least concern" however, the marbled murrelet and Kittlitz's murrelet statuses are 'endangered' and 'near Figure threatened' respectively.





Figure 30. Thick billed murre (Audubon).

5.5.2 Cormorants

Cormorants are medium to large sea birds weighing 0.35 to 5 kg and having a life span of up to 25 years in the wild (Figure 31). Cormorants are fish eaters and dive to catch their prey, which mainly consists of small fish and crustaceans, including herrings, greenlings, sculpin, sand lance, shrimp, and crabs. The double-crested cormorant, red-faced cormorant, and pelagic cormorant are all found in Cook Inlet. Cormorants dive to depths of up to 45 m, with double-crested cormorants typically only diving as deep as 7.5 m, red-faced cormorants diving as deep as 30 m, and pelagic cormorants typically up to 35 m deep. All three species of cormorants found in Cook Inlet have a conservation status of "least concern" categorized by the IUCN Red List. Red-faced cormorants are found almost exclusively along the southern Alaskan coast and throughout the Aleutian Islands.



Figure 29. Pelagic cormorant (Audubon).

5.5.3 Ducks and Geese

Many ducks and geese are found in Cook Inlet (Figures 32-34). Ducks are omnivores that typically feed on a variety of aquatic plants, seeds, grasses, small fish, invertebrates, and amphibians. Geese are omnivores as well, but their diets are mainly made up of plants—mostly grasses, nuts, seeds, and berries and only occasionally an insect or small fish. Ducks and geese are not deep divers; most of the species in Cook Inlet do not pass 10 m in diving depth (i.e., black scoter, white winged scoter, surf scoter, bufflehead, common goldeneye, barrows goldeneye, red-breasted merganser, greater scaup, and Steller's eider). Some dabblers are very poor divers and almost exclusively forage along the surface or just below the surface when in the water (i.e., American wigeon, mallard, brant). Only a few deep diver ducks exis



Figure 32. Long-tailed duck (Audubon).



Figure 33. Bufflehead (Audubon).

American wigeon, mallard, brant). Only a few deep diver ducks exist in Cook Inlet, including the common eider that dives up to 20 m, the harlequin duck that dives up to 50 m, and the deepest diving duck—the long-tailed duck—that dives up to 60 m. Of the ducks and geese in Cook Inlet, most have a conservation status designated by the IUCN Red List of "least concern." The black scoter and common eider both have a status of "near threatened," and the long-tailed duck and Steller's eider both have a status of "vulnerable."



Figure 34. Steller's eider (Audubon).

5.5.4 Grebes

Grebes are water birds different from ducks because, instead of having webbed feet (Figure 35), they have lobed toes used to propel themselves under water to forage. Grebes are typically freshwater birds but can be found in saltwater bays and inlets during the winter. The horned grebe and red-necked grebe are both found in Cook Inlet in winter months. Grebes' diets are mostly made up of small fish, aquatic insects, crustaceans, and



Figure 35. Horned grebe (Audubon).

often their own feathers to slow digestion, and their diets may vary depending on the season. Grebes typically keep their dives 7–9 m deep or shallower. The horned grebe and red-necked grebe have the respective conservation statuses designated by the IUCN Red List of "vulnerable" and "least concern."

5.5.5 Gulls and Terns

A variety of gulls and terns are found in Cook Inlet (Figure 36 - 37). Gulls are omnivores and usually opportunists that have the potential to eat highly variable diets, including fish, invertebrates, mollusks, eggs, birds, seaweed, seeds, berries, and carrion. The glaucous-winged gull, short-billed gull, black-legged kittiwake, Bonaparte's gull, and herring gull are all found in Cook Inlet. Most gulls find their food on or just below the surface of the water when foraging in aquatic habitats. However, the black-legged kittiwake—the only diving gull—may dive up to 1 m



Figure 36. Black-legged kittiwake (Audubon).

below the surface to forage. All gulls in Cook Inlet are categorized as being of "least concern"



Figure 37. Aleutian tern (Audubon).

conservation status by the IUCN Red List aside from the black-legged kittiwake, which has a status of "vulnerable." Terns are almost entirely carnivorous eating mostly small fish, crustaceans, and mollusks. The Arctic and Aleutian terns are the two types of terns found in Cook Inlet. Arctic terns often plunge dive to catch prey down to half a meter below the surface. Aleutian tern mostly feeds along the surface of the water, occasionally plunge diving into the water. Designated by the IUCN Red List, the arctic tern has a conservation status of "least concern" and the Aleutian

tern has a status of "vulnerable.". The Aleutian tern has a very limited breeding range of only the southern and eastern edges of Alaska and the Aleutian Islands, and they spend their time along those coasts or on the open ocean during the winter.

5.5.6 Loons

Loons are water birds the size of large ducks (Figure 38). Like ducks, they have webbed feet, but unlike ducks they are more heavyset, have solid bones, and their legs are farther back on their body, making their bellies sit submerged in the water as they swim. Their farther back legs, webbed feet, and solid bones make them less buoyant and allow them to be excellent



Figure 38. Red-throated loon (Audubon).

divers. Loons dive to catch prey, most of which consists of fish, and to avoid threats. Loons can dive up to 60 m deep and stay underwater for up to 5 minutes. Three kinds of loons are found in Cook Inlet: the Pacific loon, common loon, and red-throated loon. All of them have a conservation status of "least concern" designated by the IUCN Red List.

5.5.7 Petrels

Petrels are a distinct kind of seabird found in Cook Inlet; they are categorized as tube nosed seabirds along with albatross and shearwaters, all of which have unique tubular nostrils and hooked beaks (Figure 39). Petrels are mostly carnivorous scavengers that feed on varied fish, crustaceans, squid, worms, and carrion. In Cook Inlet, fork-tailed storm petrels and northern fulmars can be found. Both species dive; the fork-tailed storm petrel stays



Figure 39. Fork-tailed storm petrel (Audubon).

less than a meter from the surface when diving and the northern fulmar dives down to 3 m. Both the fork-tailed storm petrel and northern fulmar have conservation statuses of "least concern" according to the IUCN Red List.

5.5.8 Jaegers/Skuas

Jaegers (North America) or skuas are carnivorous, gull-like seabirds (Figure 40). They are known to be aggressive predators preying on eggs, young birds, and even other adult birds. They attain much of their food by chasing and attacking other birds forcing them to drop their prey. They may also eat rodents, insects, and berries, and may forage their food by skimming the surface of the water. Jaegers do not typically dive

Figure 40). They are known to be aggressive predators

They attached the predators of the predators of the predators of the predators. They are known to be aggressive predators

Figure 40). They are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they are known to be aggressive predators

They attached they



Figure 40. Parasitic jaeger/Arctic skua (Audubon).

parasitic jaeger/Arctic skua may both be found in Cook Inlet during migration, or nonbreeding individuals may be found year-round. Designated by the IUCN Red List, the pomarine

(Audubon).

jaeger/skua and the parasitic jaeger/Arctic skua both have a conservation status of "least concern."

5.5.9 **Bald Eagles**

Bald eagles are large birds of prey found across Canada, the U.S., and Northern Mexico (Figure 41). They are heavily populated along the Canadian West Coast and Alaska, including the Aleutian Islands and Cook Inlet, and they reside in these areas all year round. Bald eagles like to live near waterbodies (both saltwater and freshwater) so they can hunt their favorite food—fish. However, they are also found to eat birds, small mammals, reptiles, and carrion. When hunting in waterbodies, bald eagles do not dive more than a few centimeters into the water. Instead, they skim the water with their talons to grab prey at the surface. Figure 41. Bald eagle Bald eagles have a conservation status of "least concern" according to the IUCN Red List.

Delineating Areas in Cook Inlet for Floating Tidal Technologies

6.0 Assessment of Environmental Effects from Marine Energy Devices on Marine Animals and Seabirds in Cook Inlet

The greatest perceived threats to marine animals and birds that will influence the regulatory processes may include the following:

- risk of collision with tidal turbine blades
- effects of underwater noise from the device
- effects of electromagnetic fields (EMF) from the power export cable
- entanglement in mooring lines and inter-array cables
- haulout on a floating device and/or roosting seabirds on the device
- displacement of animals from critical habitats by anchors, mooring lines, or the device.

Each of these risks may require some investigation; while there are increasing bodies of knowledge for most of these stressors on marine animals and habitats (Copping and Hemery 2020), specific details of these interactions have not been studied for most of the species in Cook Inlet. In Cook Inlet, the most difficult hurdle to overcome from a permitting perspective is likely to be the presence and movement of the highly endangered segment of the beluga whale population that resides in the inlet. A monitoring program around the turbines will likely be needed to observe potential interactions. Results derived from previous studies of underwater noise, EMF, and changes in habitats can likely be applied to Cook Inlet, although regulators may call for some additional studies or monitoring. For example, it will be necessary to record the underwater operational noise from the O2 turbines to ensure that it falls under the U.S. guidelines for marine mammals and fish. Haulout concerns for marine mammals and roosting of seabirds can probably be addressed by mitigating areas and angles where the animals might have access. While the presence of one or a small number of tidal turbines in Cook Inlet is unlikely to significantly displace animals, marine mammal observer surveys might be needed to ensure that the belugas are not affected.

Evaluating the potential for underwater noise from the generator and other moving parts of the tidal device to disturb marine mammal navigation and communication requires an understanding of the hearing ranges of key species, particularly marine mammals and some species of fish. Information about the hearing range of marine mammals can help to determine whether there is likely to be an overlap with the frequency of the marine energy device. The hearing range of marine mammals underwater is detailed in Table 3.

Table 3. Marine mammal underwater functional hearing ranges (Southall et al. 2019).

Functional Hearing Group	Relevant Species	Functional Hearing Range
	<u> </u>	
Low-frequency cetaceans	Blue, fin, gray, humpback, right, and sei whales	10 Hz to 30 kHz
High-frequency cetaceans	Beluga, killer, and sperm whales	100 Hz to 150 kHz
Very high-frequency cetaceans	Harbor porpoise	150 Hz to 180 kHz
Phocid pinnipeds	Harbor seal	100 Hz to 100 kHz
Otariid pinnipeds	Stellar sea lion and Northern sea otter	100 Hz to 50 kHz

7.0 Suitability Assessment for the Development of Marine Energy Projects in Cook Inlet

The suitability of Cook Inlet for developing a tidal energy project was assessed through a spatial analysis that included the relevant environmental, logistical, and regulatory parameters considered for such project. The parameters included in the spatial analysis consisted of

- environmental parameters bathymetry and average yearly current velocities
- logistical parameters navigation routes, distance to ports, underwater cables
- regulatory parameters species critical habitat and EFH.

Table 4 describes each data layer included in the heatmap analysis and the constraints for their inclusion in or exclusion from the analysis. For example, navigation routes (> 10 vessel tracks) need to be avoided and are excluded as areas for potential tidal development in the analysis. The heatmap allows for identifying suitable areas for a tidal energy project across these various parameters.

Table 4. Parameters included in the heatmap analysis and associated constraints, in order to identify suitable areas for developing a tidal energy project in Cook Inlet, Alaska.

Category	Parameter	Constraint		
Environmental	Average annual current velocities		> 1 m/s	
Environmental	Bathymetry		50 – 80 m	
	Navigation routes > 10 vessel tracks		Entire area excluded	
Logistical	Distance to ports		0 – 40 km	
	Underwater cables		Entire area excluded (500 m buffer on each side of cable)	
	Critical habitat	Beluga Whale		
		Northern Sea Otter	Entire area excluded	
		Stellar Sea Lion		
		Pacific Cod	- -	
		Salmon		
Regulatory		Scallop		
	Essential fish habitat	Sculpin	 Entire area excluded 	
	Essential fish flabitat	Skates	- Littile alea excluded	
		Walleye Pollock	_	
		Rockfish	_	
		Sablefish	-	

The heatmap was produced using QGIS 3.26.3. A grid of points of approximately 0.5 miles x 0.5 miles in resolution was produced for the area of Cook Inlet. Parameters that signify suitable areas for development of a tidal energy project were noted in each grid to produce a unique

point layer for each parameter. The point layers were merged and a heatmap was produced within the symbology settings of QGIS. The heatmap shows that areas with greater clustering of points appear as more suitable and areas with less clustering of points appear as less suitable, as is shown by the color gradations in Figure 42.

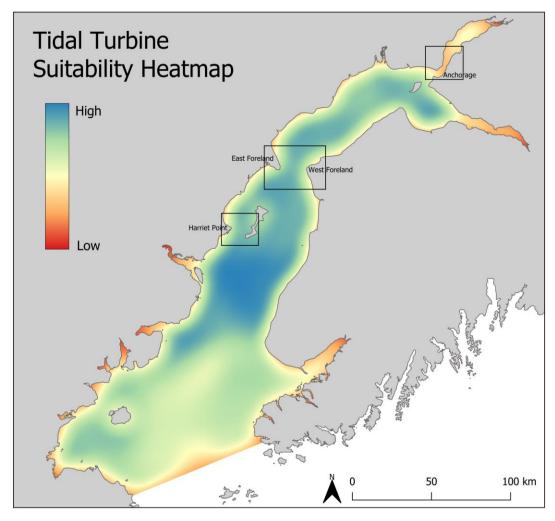


Figure 42. Heatmap of the suitability for developing a tidal energy project in Cook Inlet, Alaska. Red = low suitability and blue = high suitability.

Based on the heatmap obtained from the spatial analysis, the northern part of the inlet has higher suitability for developing a tidal energy project. In the locations of interest, the waters between the East and West Foreland sites have the highest suitability for developing a tidal energy project, followed by waters off Harriet Point. The low suitability observed off the Anchorage coast can be explained by high vessel traffic, shallow bathymetry, and the presence of critical habitat for beluga whales.

8.0 Adequacy of Environmental Data for Initial Development of Floating Tidal Turbines

The information gathered for this assessment was derived from publicly available databases and was examined based on the suitability for the deployment and operation of floating tidal technologies in Cook Inlet, Alaska. While these data resemble the information likely to be required for regulatory assessment, this analysis does not describe or replace any regulatory requirements.

After extensive searches of online datasets and information sources, it is the opinion of the authors that there are unlikely to be significant additional sources of information about the presence of marine animals or habitats of interest in Cook Inlet that will support the development of floating tidal energy. It is further the opinion of the authors that these data are sufficient for assessing the baseline conditions of the areas of interest, and that additional baseline data collection over a short period of time (months to years) is not likely to further inform a baseline assessment of the areas.

These data and information form a snapshot and (in some cases) inform trends in marine animals' distribution and the presence of critical habitats. Combined with physical data and ancillary information, this information can be used for an initial assessment of where floating tidal technologies might succeed in Cook Inlet, while ensuing minimal effects on precious marine resources.

9.0 References

Bald and Golden Eagle Protection Act. 16 U.S.C. § 668-668d

Copping, A.E. and Hemery, L.G., editors. 2020. OES-Environmental 2020 State of the Science Report: Environmental Effects of Marine Renewable Energy Development Around the World. Report for Ocean Energy Systems (OES). DOI: 10.2172/1632878.

Electric Consumers Protection Act of 1986. 16 U.S.C. ch. 12, subchapter II

Endangered Species Act of 1973, as amended. 16 U.S.C. ch. 35 § 1531 et seq.

Energy Policy Act of 1992. 42 U.S.C. ch.134

Federal Power Act. 16 U.S.C. § 791a et seq.

Federal Water Pollution Control Act. 33 U.S.C. § 1344 and § 1286

Fish and Wildlife Coordination Act. 16 U.S.C § 661 et seq.

Gravem, S.A., Heady, W. N., Saccomanno, V. R., Alvstad, K. F., Gehman, A. L. M., Frierson, T. N. & Hamilton, S.L. 2020. *Pycnopodia helianthoides*. The IUCN Red List of Threatened Species 2020: e.T178290276A178341498.

Hydroelectric Project Authorization. 16 U.S.C. § 823c

Magnuson-Stevens Fishery Conservation and Management Act of 1976. 16 U.S.C. ch. 38 § 1801 et seq.

Marine Mammal Protection Act in 1972. 16 U.S.C. ch. 31 § 1361 et seq.

Marine Protection and Sanctuaries Act. 33 U.S.C. §1413

Migratory Bird Treaty Act. 16 U.S.C. § 703 et seq.

National Environmental Policy Act of 1969. 42 U.S.C. ch. 55

Public Utility Regulatory Policies Act of 1978. 16 U.S.C. §2621(d)(7)–(9)

Rivers and Harbors Act of 1899. 33 U.S.C. § 401 et seq.

Southall B.L. et al. 2019. Marine mammal noise exposure criteria: updated scientific recommendations for residual hearing effects. Aquatic Mammals 45, 125-232.

Unauthorized aids to navigation; penalty. 14 U.S.C. § 542, 543, 544

Wang T., Yang Z. 2020. A tidal hydrodynamic model for Cook inlet, Alaska, to support tidal energy resource characterization. Journal of Marine Science and Engineering. 8, 254.

References 39

Pacific Northwest National Laboratory

902 Battelle Boulevard P.O. Box 999 Richland, WA 99354

1-888-375-PNNL (7665)

www.pnnl.gov